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November 14, 1994

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The Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Re:

U.S. Patent Application

Serial No. 08/249,671 Filed: May 26, 1994

For: Process for Preparing and Purifying Alpha-Interferon

Inventors:

HAUPTMANN et al.

Our Ref.:

0652.1350000/RWE

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Information Disclosure Statement (in duplicate);
- 2. Form PTO-1449 (7 sheets);
- 3. Copy of references AA1, AL1, AM1 and AR1-AT7; and
- 4. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned as soon as possible.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment

STERNE, KESSLER, GOLDSTEIN & FOX

The Honorable Commissioner of Patents and Trademarks November 14, 1994 Page 2

of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX

Robert W. Esmond Attorney for Applicants Registration No. 32,893

RWE/JVN/nyh
0652.1350000
Attachments



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Hauptmann et al.

Serial No. 08/249,671

Filed: May 26, 1994

For:

Process for Preparing and

Purifying Alpha-Interferon

Art Unit: To Be Assigned

Examiner: To Be Assigned

Atty Docket: 0652.1350000/RWE

## Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks Washington, DC 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to the Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. § 1.56. Copies of the documents are also being submitted herewith.

In accordance with 37 C.F.R. §1.98(a)(3), Applicants' undersigned representative submits the following concise explanation of the relevance of the non-English language document cited in the accompanying Form PTO-1449:

Reference AL1, European Patent EP 0 115 613 in German language, discloses the construction of a plasmid for the cytoplasmic, inducible expression of eukaryotic genes, esp. interferons, in prokaryotes under the control of the trp-promoter of Serratia marcescens.

Hauptmann et al. Serial No. 08/249,671

Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

Consideration of the cited documents and making the same of record in the prosecution of the above-identified application are respectfully requested.

It is believed that no fees are due for consideration of this Information Disclosure Statement. Any fees which may be due may be debited to our Deposit Account 19-0036. A duplicate copy of this statement is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX

Robert W. Esmond Attorney for Applicants Registration No. 32,893

Date

Nov. 14, 1994

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